



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	I	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/929,270	1	08/13/2001	M. Allen Northrup	22660-0009 C1	9986	
20350	7590	03/23/2004	EXAMINER			
TOWNSE	TOWNSEND AND TOWNSEND AND CREW, LLP				MARSCHEL, ARDIN H	
TWO EMBARCADERO CENTER EIGHTH FLOOR				ART UNIT	PAPER NUMBER	
SAN FRANCISCO, CA 94111-3834				1631		

DATE MAILED: 03/23/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

	•
-	
W	
~	•
1	
	٠
~	
~	1
- 0	,

## Applicant(s) Application No. NORTHRUP ET AL. 09/929,270 Office Action Summary **Art Unit** Examiner 1631 Ardin Marschel -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**Period for Reply** A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). **Status** 1) Responsive to communication(s) filed on <u>30 December 2003</u>. 2b) This action is non-final. 2a) This action is **FINAL**. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. **Disposition of Claims** 4) Claim(s) 13,14,20-29,44,48-57,62 and 63 is/are pending in the application. 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 13,14,20-25,28,29,44,48-51 and 54-57 is/are rejected. 7) Claim(s) 26,27,52,53,62, and 63 is/are objected to. 8) Claim(s) 13,14,20-29,44,48-57,62 and 63 are subject to restriction and/or election requirement. **Application Papers** 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on \_\_\_\_ is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some \* c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_\_ 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413)

Paper No(s)/Mail Date \_

2) Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)

Paper No(s)/Mail Date. \_\_\_

6) Other: \_\_

5) Notice of Informal Patent Application (PTO-152)

Art Unit: 1631

#### **DETAILED ACTION**

Applicants' arguments, filed 12/30/03, have been fully considered but they are not deemed to be persuasive. Rejections and/or objections not reiterated from previous office actions are hereby withdrawn. The following rejections and/or objections are either reiterated or newly applied. They constitute the complete set presently being applied to the instant application.

#### **PRIOR ART**

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 13, 14, 20-25, 28, 29, 44, 48-51, and 54-57 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nelson et al. (P/N 6,074,827).

This rejection is reiterated and maintained from the previous office action, mailed 6/30/03. Applicants argue firstly regarding claims 13, 14, and 20-27 that independent claim 13 is patentable over Nelson et al. by reciting the limitations in claim 13. In response a lengthy explanation of Nelson et al. subject matter as to supporting this

Art Unit: 1631

rejection was set forth in the previous office action, mailed 6/30/03, which summarized the descriptions in Nelson et al. of reaction chamber as enrichment channel, separation channel as electrophoretic channel, etc. as in instant claim 13. Applicants have not distinguished the instant invention over these descriptions in Nelson et al. nor even commented on these bases for the rejection. Therefore, applicants' arguments are not directed to the basis for the rejection and thus non-persuasive.

Applicants then turn to instant claims 28 and 29. In response applicants again have not commented on or distinguished the instant invention over Nelson et al. The previous office action, mailed 6/30/03, recited a reaction chamber, valve(s) etc. contrary to applicants allegations which have not been commented on by applicants. Therefore, applicants' arguments are not directed to the basis for the rejection and thus non-persuasive.

Applicants then turn to instant claims 44 and 48-53 and argue that a three-way valve is not described in the reference. In response applicants again have not commented on or distinguished the instant invention over Nelson et al. which was cited in the previous office action as describing a three-way valve in Figures of the reference. The previous office action, mailed 6/30/03, also recited a reaction chamber, valve(s) etc. contrary to applicants allegations which have not been commented on by applicants. Therefore, applicants' arguments are not directed to the basis for the rejection and thus non-persuasive.

Applicants then turn to instant claims 54-57 and 62-63. In response applicants again have not commented on or distinguished the instant invention over Nelson et al.

Art Unit: 1631

as described in the previous office action, mailed 6/30/03, which recited a reaction chamber, valve(s) etc. contrary to applicants allegations which have not been commented on by applicants directed to an electrophoretic channel which only functions via electrodes at each end as in said instant claims. Therefore, applicants' arguments are not directed to the basis for the rejection and thus non-persuasive.

### **CLAIM OBJECTIONS**

Claims 26, 27, 52, 53, 62, and 63 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

No claim is allowed.

THIS ACTION IS MADE FINAL. Applicants are reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Papers related to this application may be submitted to Technical Center 1600 by facsimile transmission. Papers should be faxed to Technical Center 1600 via the Central PTO Fax Center. The faxing of such papers must conform with the notices published in the Official Gazette, 1096 OG 30 (November 15, 1988), 1156 OG 61 (November 16, 1993), and 1157 OG 94 (December 28, 1993)(See 37 CFR § 1.6(d)). The Central PTO Fax Center number is (703) 872-9306.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ardin Marschel, Ph.D., whose telephone number is

Art Unit: 1631

(571) 272-0718. The examiner can normally be reached on Monday-Friday from 8 A.M. to 4 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Woodward, Ph.D., can be reached on (571) 272-0722.

Any inquiry of a general nature or relating to the status of this application should be directed to Legal Instrument Examiner, Tina Plunkett, whose telephone number is (571) 272-0549.

March 19, 2004

ARDIN H. MARSCHEL 3/19/04
PRIMARY EXAMINER